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# 1 Assessing the Quality of Amazon Aquatic Ecosystems with Multiple 2 Lines of Evidence: The Case of the Northeast Andean Foothills 3 of Ecuador

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## 8 Abstract

9 We assessed the quality of Andes–Amazonia streams in Ecuador impacted by gold mining (GM), discharges from inefficient  
10 sewage network in urban areas (UA), wastes from fish farming (FF) and from non-functional landfill (LF) and other few  
11 threats (FT). We selected three lines of evidence (LOE) that were used separately and integrated into a index: water quality  
12 (WQI) and macroinvertebrate community (AAMBI) indices and phytotoxicity tests. Streams affected by UA and LF had the  
13 lowest scores to WQI and phytotoxicity, and by GM had the lowest scores to AAMBI. Macroinvertebrate absence in GM  
14 should be considered as a warning signal of long-term mining impacts in the area. The integrated LOE index showed that  
15 sites with identified threats had 30%–53% stream quality decline compared to FT sites. The use of the selected LOE seems  
16 to be a useful tools for long-term monitoring and evaluation of this sensitive aquatic ecosystem.

17 **Keywords** WQI index · Phytotoxicity · Macroinvertebrate community index · Gold mining · Fish farming · Non-functional  
18 landfills · Urban contamination

19 The Andes–Amazonia ecotone plays a crucial role in reg-  
20 ulating the global climate, in the provision of ecosystem  
21 services and harbors exceptional biodiversity (Flores et al.  
22 2010; Josse et al. 2009). This area has a dense network of  
23 rivers that flow through protected areas, draining from the  
24 Andean slopes into the tributaries of the Amazon River

(Grill et al. 2019; McClain and Naiman 2008). Although  
it is an area of global importance, human pressure on this  
ecosystem has increased in the last decades (Lessmann et al.  
2019; Encalada et al. 2019a).

The wastewaters from fish farming, legal and illegal gold  
mining, urban contamination, and non-functional landfills  
input high amounts of metals in the freshwater ecosystems  
at the Northeast Andes foothills of Ecuador (Capparelli et al.  
2020). Besides metal contamination, multiple environmental  
effects can be expected from these contamination sources.  
For instance, wastewaters from fish farming pools cause  
eutrophication by diminishing the dissolved oxygen and  
increasing pH and ammonia (NH<sub>3</sub>) (Hussan and Gon 2016);  
gold mining increases the turbidity, change water color,  
decrease the pH and affect natural landscapes; inefficient  
sewage network and the lack of wastewater treatment in  
urban areas increase organic and microbiological contami-  
nation (Flores et al. 2010; Isch 2011; Finer et al. 2008);  
and the leachate from non-functional landfills contaminates  
both superficial and groundwater with several types of con-  
taminants. Because anthropogenic activities impact different  
characteristics of the ecosystem, multiple lines of evidence  
are required for a complete environmental assessment.

A1 **Supplementary Information** The online version contains  
A2 supplementary material available at <https://doi.org/10.1007/s00128-020-03089-0>.  
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48 The use of multiple lines of evidence (LOE) provides an  
 49 integrative way to assess environmental risks on the aquatic  
 50 ecosystems (Burton 2002; Melcher et al. 2016). The choice  
 51 of the LOE depends on the type of threats, the objectives of  
 52 the study, and the costs, especially in regions with low sci-  
 53 entific investment (Altenburger et al. 2015; Taylor et al. 2010).  
 54 LOE can combine the assessment of contamination level  
 55 through chemical analyses and biological responses from  
 56 key species and/or model organisms at different levels of  
 57 biological organization (Chapman et al. 2002). Some LOE  
 58 widely used to assess water ecosystems are the water quality  
 59 index (WQI), which qualifies the overall water quality within  
 60 an ecosystem using physical, chemical, and microbiologi-  
 61 cal parameters (Koçer and Sevgili 2014); phytotoxicity tests  
 62 using *Latuca sativa* L. (U.S. EPA 1996), which assess the  
 63 toxic potential of water or sediment samples from freshwater  
 64 ecosystems; and aquatic macroinvertebrates indices, which  
 65 evaluates water quality based on sensitivity or presence  
 66 of tolerant taxa (Hering et al. 2006; Stoddard et al. 2008).  
 67 All these LOE differ systematically in their specificity for  
 68 chemical pollution, ecological relevance and applicability  
 69 (Dagnino et al. 2008). However, when integrated, multiple  
 70 LOE can complement each other and their combination  
 71 allows the assessment of the degree of impact caused by  
 72 various contaminants in the freshwater (Santos et al. 2017;  
 73 Backhaus et al. 2019).

74 Specific information on the impact caused by multi-  
 75 ple contamination sources in the freshwater ecosystem of  
 76 the Andes–Amazonia ecotone in Northeast Ecuador is  
 77 scarce. Thus, we aimed at applying three LOE (water and

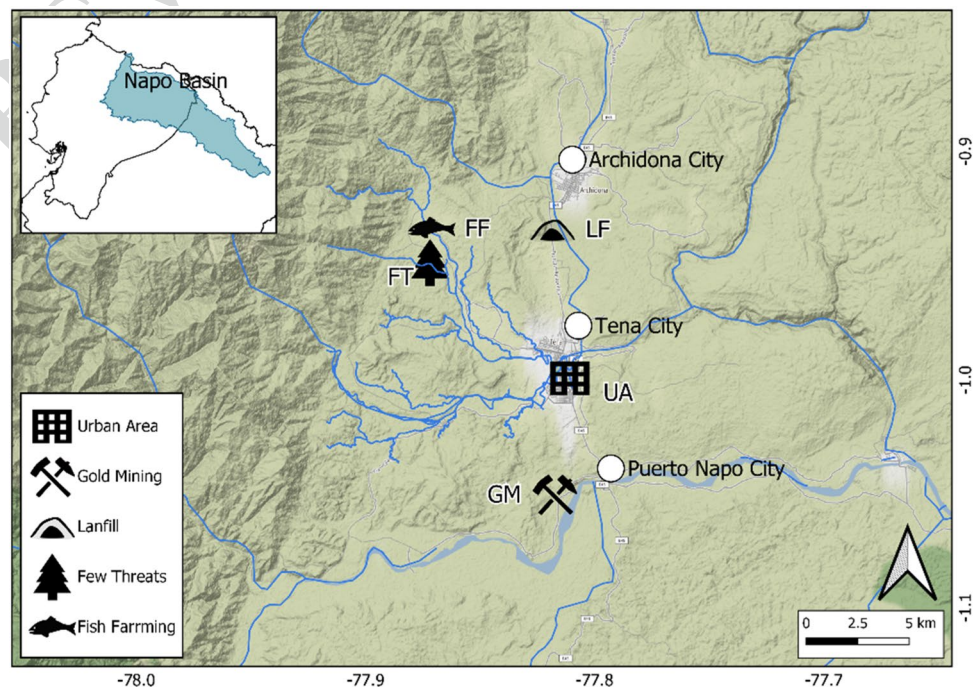
78 macroinvertebrate quality indexes and phytotoxicity tests) to  
 79 evaluate water quality of Andes–Amazonia rivers. Each of  
 80 these LOE has a different approach that, when used together,  
 81 can draw conclusions on the environmental impacts and be  
 82 used for future monitoring of Andean–Amazonian freshwater  
 83 ecosystems.

## 84 Materials and Methods

85 The study area is located in the upper Napo basin, at the  
 86 Ecuadorian Amazonia (Fig. 1). The Napo River is a tribu-  
 87 tary of the Amazon River whose headwaters are located in  
 88 the high elevations of the Andes (Grill et al. 2019). Five  
 89 sampling sites were located in the Tena and Colonso rivers  
 90 and in some of their tributaries. The study area comprises a  
 91 population of approximate 100,000 inhabitants distributed in  
 92 rural and urban areas (INEC 2010). Annual mean precipita-  
 93 tion is above 4000 mm and no months presented less than  
 94 100 mm of precipitation. Daily precipitation above 1 mm  
 95 was not registered six days before sampling. (Meteorological  
 96 Station of Ikiam University, <http://meteorologia.ikiam.edu.ec:3838/meteoviewer/>).

98 Sampling was done in March 2020. Sampling sites  
 99 were chosen based on the presence of metal contamina-  
 100 tion sources mapped by Capparelli et al. 2020: sites located  
 101 close to gold mining and landfills presented 100 to 1000  
 102 times higher metal concentrations than sites classified as  
 103 “few threats”. In water samples, Cd, Pb, Cu, Zn and Hg  
 104 were mostly above the maximum permissible limits in the

**Fig. 1** Geographic location of the sampling rivers as defined by the presence of anthropogenic impacts. Only the main rivers that connect to the upper Napo River are highlighted in the figure. Terrain complexity is shown in the background. The coordinates of the sampling areas are: Few Threats (−77.864, −0.948), Fish Farming (−77.871, −0.933), Gold Mining (−77.817, −1.049), Urban Areas (−77.810, −0.999) and Landfill (−77.818, −0.933)



105 samples, while Cd in sediment reached concentrations five-  
106 fold above the probable effect level (PEL) in that previous  
107 study. Thus, the selected contamination sources were gold  
108 mining (GM); urban areas (UA); fish farming (FF); a landfill  
109 (LF); and areas where no direct sources of contamination  
110 could be identified, named few threats (FT).

111 **LOE 1:** The Water Quality index (WQI) is a compre-  
112 hensive general index for classification of surface water  
113 resources based on water quality (Nong et al. 2020; Noori  
114 et al. 2018). The index is composed by seventeen parameters:  
115 dissolved oxygen, pH, temperature, total dissolved solids,  
116 turbidity, chemical oxygen demand, fecal coliforms, color,  
117 total phosphates ( $\text{PO}_4^{3-}$ ), nitrates ( $\text{NO}_3^-$ ), nitrites ( $\text{NO}_2^-$ ),  
118 calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), chlorite ( $\text{Cl}^-$ ), sulphates  
119 ( $\text{SO}_4^{2-}$ ), total ammonia ( $\text{NH}_3$ ) and ammonium ( $\text{NH}_4^+$ ),  
120 where every parameter have different contribution on water  
121 quality. Each parameter was assigned a weight based on its  
122 perceived effect on aquatic life to calculate the WQI index  
123 (Noori et al. 2018). WQI ranges from 0 to 100. The WQI  
124 score is classified in five categories, Excellent (100–91),  
125 Good (90–71), Medium (70–51), Bad (50–26), and Very bad  
126 (25–0). To determine the parameters used in the WQI, two  
127 samples of superficial water were collected in pre-washed  
128 low-density polyethylene bottles of 1000 mL, at approxi-  
129 mately 30 cm depth from the water surface and against the  
130 river flow. The samples were transported in cool boxes and  
131 immediately processed upon arrival at the laboratory. The  
132 parameters dissolved oxygen, pH, temperature, conductiv-  
133 ity, and total dissolved solids were measured in situ using  
134 the YSY professional plus multiparameter. Turbidity was  
135 read with the HACH TL 2300 turbidimeter. Color apparent  
136 was determined by the method 8025 HACH. The chemical  
137 oxygen demand was determined by the method 8000. Fecal  
138 coliforms were determined using chromocult coliform agar  
139 with the most probable number (MPN) technique (APHA  
140 1998), with 3 tubes per water sample dilution ( $10^{-1}$  to  $10^{-4}$ ).

141 The total phosphates ( $\text{PO}_4^{3-}$ ), nitrate ( $\text{NO}_3^-$ ), nitrite  
142 ( $\text{NO}_2^-$ ), calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), chlorine ( $\text{Cl}^-$ ),  
143 sulfate ( $\text{SO}_4^{2-}$ ), ammonium ( $\text{NH}_4^+$ ), total ammonia ( $\text{NH}_3$ ),  
144 were measured using ion chromatography (Shodex IC-52  
145 4E anion and Shodex IC YS-50 cation). The results were  
146 compared to water quality guidelines established by the  
147 Ecuadorian environmental guidelines (TULSMA 2015) and  
148 the Canadian Environmental Quality Guidelines standards  
149 (CCME 2002). Additionally to the parameters used in the  
150 calculation of the WQI we also measured in situ electrical  
151 conductivity, sodium ( $\text{Na}^+$ ) and potassium ( $\text{K}^+$ ) for the mat-  
152 ter of comparison with TULSMA thresholds.

153 **LOE 2:** To perform phytotoxicity tests, *L. sativa* seed  
154 germination and root elongation were tested using both  
155 water (150 mL) and superficial sediment (approximately  
156 150 g) from our sampling sites. Samples were collected  
157 and stored at 4°C for one month until the experiment was

158 carried out. Seed germination and root elongation were  
159 evaluated according to the U.S. EPA (1996) and OECD  
160 (2006) protocols. *L. sativa* seeds were purchased from a  
161 local seed market (certified sealed seeds with 98% ger-  
162 mination rate). Fifteen morphologically identical seeds  
163 were evenly distributed on a filter paper in 90 mm sterile  
164 Petri dishes, and 2.5 mL of water sample or distilled water  
165 (control) were added. Two replicates were performed for  
166 each sample. Petri dishes were covered and incubated at  
167 25°C in the dark for 120 h. For sediment samples, 10 g  
168 of sediment were placed in a plastic container (100 mL)  
169 and 15 lettuce seeds were evenly distributed on each con-  
170 tainer. The sediment used as a control was taken from the  
171 FT site. The containers were then incubated at 25°C in  
172 the dark for 24 h and maintained under a 12 h/12 h (light/  
173 dark) photoperiod for 14 days. At the end of the test, the  
174 number of germinated seeds was counted and root and epi-  
175 cotyl lengths were measured (Hoekstra et al. 2002). Then,  
176 germination and root length from both water and sediment  
177 experiments were compared to the control group and used  
178 as phytotoxicity indicators. Comparisons to their respec-  
179 tive controls were done using Student's t-tests. The sam-  
180 ples were considered toxic when significant differences  
181 ( $p < 0.05$ ) between the test samples and the control were  
182 found. Samples were classified as toxic or nontoxic, or  
183 with signs of toxicity, when there was greater growth of  
184 the root or epicotyl in relation to the control.

185 **LOE 3:** To calculate the Andean–Amazon Biotic Index  
186 (AAMBI, Encalada et al. 2019b), macroinvertebrates were  
187 collected from the benthic substrate at both edges of each  
188 stream channel. Samples were taken with a D-shaped net  
189 using a multi-habitat approach sampling. River sediment  
190 was actively disturbed for one minute at each side of the  
191 riverbank and one minute at the center of the river to collect  
192 all representative taxa of these sectors. After one-minute  
193 sampling the macroinvertebrates were carefully separated in  
194 order to not lose the organisms collected in each edge. The  
195 samples were placed in plastic bags and transported to the  
196 laboratory, where river water was replaced by 96% alcohol.  
197 Organisms were identified in all samples and classified to  
198 the species level whenever possible (Wright et al. 1984).  
199 AAMBI consists in the sum of numerical values assigned to  
200 each family; AAMBI values range between 1 and 10, being  
201 1 assigned to the most tolerant families and 10 to the most  
202 sensitive families. The final score is then ranked into five  
203 categories: excellent water quality ( $> 121$ ), very good water  
204 quality (90–120), good water quality (50–89), regular qual-  
205 ity of the water (36–49), and bad water quality with high  
206 contamination load ( $< 35$ ).

207 By combining the WQI, phytotoxicity and AAMBI, we  
208 generated an integrated quantitative index that uses the com-  
209 plete decision matrix for the three LOE with normalized  
210 values between 0 and 15 (Table 1).

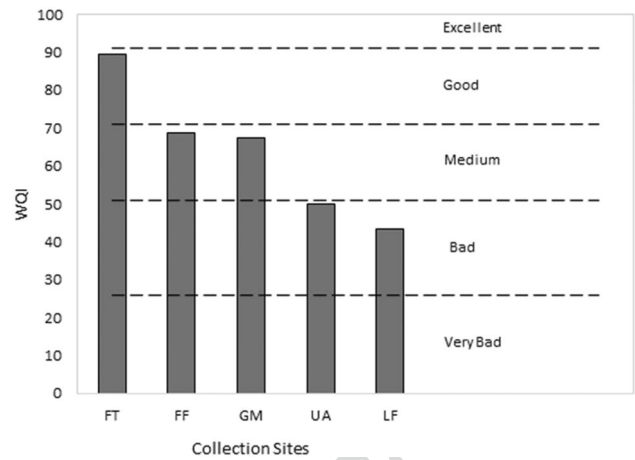


211 Principal Component Analysis (PCA) was used to sum-  
 212 marize the main WQI, phytotoxicity and AAMBI gradients.  
 213 All variables were normalized by site, by setting the sum  
 214 of squares equal to 1. The first two principal components  
 215 (PCs) were retained and their correlations to each metal were  
 216 tested through Pearson’s correlation test.

217 **Results and Discussion**

218 **LOE 1:** The WQI scores ranged from 43 (LF site) to 89 (FT  
 219 site) (Fig. 2). None of these sites had the highest possible  
 220 classification. The UA and LF sites had the worst water qual-  
 221 ity. Intermediate values were reported for GM and FF sites.

222 Complete data of physical and chemical parameters can  
 223 be found in Table 1 of supplementary information. Dissolved  
 224 oxygen (DO) and chemical oxygen demand (COD) param-  
 225 eters for sites UA and LF (UA = 64.5% DO and 66 mg L<sup>-1</sup>;  
 226 LF = 16% DO and 99 mg L<sup>-1</sup>) were above the threshold for  
 227 Ecuadorian guidelines (≥ 80% DO and ≤ 40 mg L<sup>-1</sup> COD).  
 228 Low DO can lead to hypoxia and death of aquatic organisms  
 229 (Cox 2003), while COD most likely increased in these sites  
 230 due to non-biodegradable organic loading from untreated  
 231 sewage water and leachate from the landfill. Total dissolved  
 232 solids (TDS) ranged from 16.5 mg L<sup>-1</sup> (FT and FF sites) to  
 233 146 mg L<sup>-1</sup> (LF site), being higher than those previously  
 234 reported to the Napo River basin (64 mg L<sup>-1</sup> to 99 mg L<sup>-1</sup>)  
 235 (Moquet et al. 2016). TDS values were all below the thresh-  
 236 old established by CCME guidelines (Table 1 supplementary



227 **Fig. 2** Water quality index from 5 collection sites located at different  
 228 Napo River tributaries. Collecting sites are ordered from higher to  
 229 lower classification. The physical–chemical parameters used to calcu-  
 230 late the WQI can be found in Table 1 of the supplementary informa-  
 231 tion

232 information). There is a direct correlation between TDS and  
 233 electrical conductivity (EC, in μS cm<sup>-1</sup>) (Ustaoğlu et al.  
 234 2020). The highest EC value was 293 μS cm<sup>-1</sup> (LF site)  
 235 and the lowest was 32.8 (FT site). In the LF site high EC  
 236 values are likely due to the high load of ions from the lea-  
 237 chate water that flow into the nearby stream. In fact, EC  
 238 values previously reported for the area (Moquet et al. 2016)  
 239 ranged between 62 μS cm<sup>-1</sup> and 66 μS cm<sup>-1</sup>, well below the  
 240  
 241  
 242  
 243  
 244

**Table 1** Parameters and the respective scores used to calculate the integrated index that includes the three LOE of freshwater parameters (WQI, AAMBI and phytotoxicity) assessed in the study area

| Rating categories   | Score    |
|---|----------|
| <b>1—WQI</b>  |          |
| Excellent (range value 91–100)  | 4.5–5.0  |
| God (range value 71 ≤ 91)   | 3.5–4.5  |
| Medium (range value 51 ≤ 71)  | 2.5–3.5  |
| Bad (range value 26 ≤ 51)   | 1.2–2.5  |
| Very Bad (<26)  | 0–1.2    |
| <b>2—Phytotoxicity</b>  |          |
| Growth enhanced until 30% from control or inhibition until 20% from control     | 4.0–5.0  |
| Growth enhanced 31% to 60% from control or inhibition 21% to 40% from control   | 3.0–4.0  |
| Growth enhanced upper to 60% from control or inhibition 41% to 60% from control | 2.0–3.0  |
| Growth inhibition 61% to 80% from control                                       | 1.0–2.0  |
| Growth inhibition upper 80% from control  | 0–1.0    |
| <b>3—AAMBI</b>  |          |
| Excellent (value < 121)   | 4.9–5.00 |
| Very Good (range value 90–120)  | 3.6–4.9  |
| God (range value 50–89)   | 2.0–3.5  |
| Regular (range value 36–49)   | 1.4–1.9  |
| Bad (<35)   | 0–1.3    |

For the ranking categories of each LOE we assigned values from 0 to 5, where 0 represented the lowest score (i.e. least favorable ecosystem conditions) and 5 represents the highest score (i.e., most favorable ecosystem conditions)

245 values found in contaminated areas. Turbidity ranged from  
 246 0.7 NTU (FT site) to 843 NTU (UA site). Turbidity for GM  
 247 (276 NTU) and UA sites (843 NTU) were above 100 NTU,  
 248 the threshold established by Ecuadorian guidelines. High  
 249 turbidity in GM can be due to the influx of sediment particles  
 250 due to the constant erosion of riverbanks, quite common  
 251 in mining areas (Batsaikhan et al. 2017).

252 Physical–chemical parameters varied among sites.  
 253 Regarding nitrogenous compounds, the LF site presented the  
 254 highest values of total ammonia (22.1 mg L<sup>-1</sup>), ammonium  
 255 (13.4 mg L<sup>-1</sup>) and nitrate (1.4 mg L<sup>-1</sup>). High values of total  
 256 ammonia can indicate a process of degradation of organic  
 257 matter and organic contamination, usually associated with  
 258 microbiological contamination. In solution, ammonia toxicity  
 259 is caused by NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup>, with NH<sub>3</sub> contributing  
 260 to greater toxicity to the organisms (Banerjee and Srivastava  
 261 2009). The nitrite exceeds the threshold for Ecuadorian  
 262 guidelines (> 0.2 mg L<sup>-1</sup>) in LF (1.4 mg L<sup>-1</sup>). High nitrite  
 263 concentration can result from incomplete ammonia oxidation  
 264 (Guo et al. 2016) that can be caused by low DO value  
 265 found in the LF site (16%). In higher concentrations, nitrites  
 266 are toxic to aquatic organisms (LC50 value of 0.5 mg L<sup>-1</sup>,  
 267 calculated from linear regression models) (Kocour Kroupová  
 268 et al. 2018). The LF site also had the highest fecal coliform  
 269 load among all sites (100,000 CFU/100 ml).

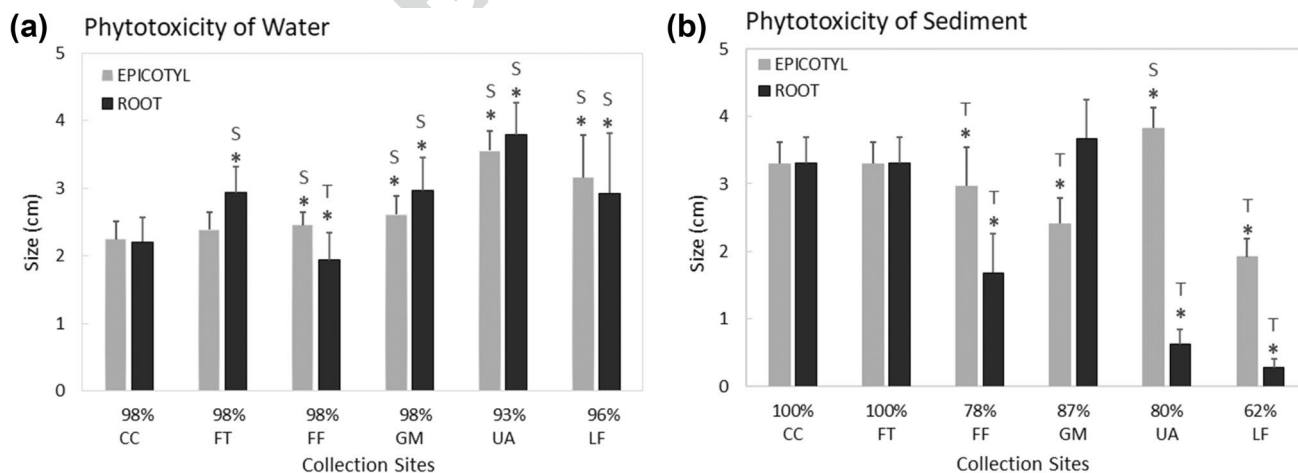
270 The UA and LF sites presented highest concentrations  
 271 of phosphates (0.2 mg L<sup>-1</sup> and 0.5 mg L<sup>-1</sup>), which can be  
 272 caused by nutrient input from point and diffuse sources  
 273 related to agricultural practices and urban population  
 274 in these areas. High phosphorus concentrations leads to  
 275 eutrophication and depletion of DO concentrations (Gupta  
 276 et al. 2017) and causes imbalances at the base of food webs

277 that impair ecosystem function and community structure  
 278 (Colborne et al. 2019).

279 The concentrations of most of the major ions (Ca<sup>2+</sup>,  
 280 Mg<sup>2+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup> and SO<sub>4</sub><sup>2-</sup>) in all sites were below the  
 281 thresholds for Ecuadorian and Canadian guidelines (Table 1,  
 282 supplementary information). However, some ions such as  
 283 Ca<sup>2+</sup>, Mg<sup>2+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup> were found in concentrations 10  
 284 to 50-fold higher in GM, UA and LF sites when compared  
 285 to FT sites, which indicates that different contamination  
 286 sources can modify water parameters even when below  
 287 allowed limits. These results indicate that the thresholds  
 288 need to be revised for Andes–Amazonia rivers.

289 **LOE 2:** In water, phytotoxicity was detected only at the  
 290 FF site. Signals of toxicity were detected at sites FT, FF,  
 291 GM, UA and LF, both in root and root growth (Fig. 3a),  
 292 which may indicate the excess of organic matter and metal  
 293 contamination at these locations (Belz et al. 2018). In sedi-  
 294 ment, toxicity was detected at FF, GM, UA and LF sites.  
 295 This effect was probably caused by sewage discharged or  
 296 complex mixtures of contaminants present in sediments  
 297 (Marsalek et al. 1999). Sediments are a reservoir of con-  
 298 taminants, which can be a source of chronic contamina-  
 299 tion (Chapman 1990) due to this the phytotoxicity can be  
 300 observed mainly in in that matrix. FF, UA and LF sites also  
 301 showed signals of toxicity, with high root growth in sedi-  
 302 ment samples. Besides that, germination in sediment was  
 303 the lowest in the LF site (62%) (Fig. 3b).

304 At high contaminants concentrations, *L. sativa* germina-  
 305 tion inhibition would be expected, while growth stimulation  
 306 would be expected at low concentrations (Belz et al. 2018).  
 307 Phytotoxicity tests had similar results compared to Capparelli  
 308 et al. (2020) for the same collection sites, although in



309 **Fig. 3** Results of water (a) and sediment (b) phytotoxicity tests using  
 310 seeds of *Lactuca sativa*. Phytotoxicity was evaluated by germination  
 311 % and by comparing epicotyl and root size exposed to water and  
 312 sediments taken from sampling sites in comparison with control  
 313 using Student’s t-test. Bars show the mean ± standard error (n = 15)

314 in root or epicotyl growth. \* Indicates significant mean differences  
 315 (*p* < 0.05), *T* indicates toxicity (i.e. growth inhibition in the samples),  
 316 *S* indicates signals of toxic (i.e. growth increased in the samples). The  
 317 control sample (CC) in water analysis was distilled water and in sedi-  
 318 ment analysis was the sediments of FT site

our study, the sediment matrix caused greater toxicity than the water matrix. The high growth of epicotyl, compared to the root growth, in areas such as UA, LF and GM, may indicate the presence of high amounts of organic matter and nutrients, and can also be considered as an indicator of contamination (Lyu et al. 2018).

**LOE 3:** A total of 132 individuals from 13 families were found. The aquatic macroinvertebrates community was high in number of individuals and more diverse in sites FT and FF, with 12 and 35 individuals, distributed in 5 and 6 families, respectively (Table 2, Table 2 supplementary information). Chironomidae and Glossosomatidae were the only families found in LF and UA sites. These families are tolerant to high organic and inorganic contamination (Roldán-pérez 2016), such as the one caused by the presence of untreated sewage and landfill leachate. Overall, high concentration of metals (Wang et al. 2019), elevated levels of turbidity, TDS, electrical conductivity, nitrate and phosphates are known to affect the diversity and abundance of macroinvertebrate communities (Regina et al. 2010). Moreover, the low DO found in LF (16%) and UA sites (64%) causes oxygen depletion that may also affect the diversity of organisms (Grall and Glemarec 1997).

No macroinvertebrates were found at the GM site. The complete absence of macroinvertebrates in a site could be an indicative of extreme contamination or high degradation of the aquatic ecosystem. It has been documented that mining activities impact the benthic macroinvertebrate communities

(Costas et al. 2018), increasing metal contamination, turbidity and color as the result of modifications in the landscape and in the river hydrology caused by mining machines (Luoma et al. 2010). Indeed, high values of turbidity and color were registered in this study and high concentrations of Cd, Cu, Pb were registered for the same GM site in a previous study (Capparelli et al. 2020). On the other hand, FF and FT sites had the highest number of macroinvertebrates families as well as abundances of individuals. At the FT site, families sensitive to contamination (e.g. Leptophlebiidae, Perlidae, Corydalidae) and indicators of clean water were found (Roldán-pérez 2016). FF site harboured families characterized to have intermediate (Elmidae, Hydropsychidae) and high (Chironomidae) resistance to contamination (Table 2).

**Integrated LOE:** We combined three LOE to evaluate environmental impacts in sites located near contamination sources in the upper Napo River tributaries. Our results showed that FT site had the highest score (12.10), meaning better quality of the aquatic ecosystem and that the UA (6.15) and LF (5.71) sites were the most affected by environmental contamination (Table 3). The score differences between FT and the other sites were 30%–53% lower. Each of these LOE indicates a different way of assessing water quality, but they reflected a similar trend when combined into a single metric. Based on previous knowledge by metal contamination of the study area, we expected water quality from sampling sites to rank in the following order: FT > FF > UA > GM > LF (Capparelli et al. 2020). However, using our integrated LOE assessment, the site's rank resulted in FT > FF > GM > UA > LF. The main difference in relation to our initial hypothesis is the ranking position of UA and GM sites, which can be due to the lack of a LOE for chemical metal analysis. This was somehow reflected by the absence of macroinvertebrates in the GM site, although specific LOE for certain contamination sources would have the resolution to detect more subtle differences between contamination types.

**Table 2** Scores of benthic macroinvertebrate families according to the AAMBI index in each collection site

| Families        | Collection sites |    |    |    |    |
|-----------------|------------------|----|----|----|----|
|                 | FT               | FF | GM | UA | LF |
| Chironomidae    | 2                | 2  |    | 2  | 2  |
| Limoniidae      | 4                |    |    |    |    |
| Glossosomatidae |                  |    |    |    | 2  |
| Ptilodactylidae | 5                |    |    |    |    |
| Psphenidae      | 5                |    |    |    |    |
| Naucoridae      |                  | 5  |    |    |    |
| Hydropsychidae  | 5                | 5  |    |    |    |
| Leptoceridae    | 8                |    |    |    |    |
| Elmidae         | 5                | 5  |    |    |    |
| Leptohyphidae   | 7                | 7  |    |    |    |
| Corydalidae     | 9                |    |    |    |    |
| Perlidae        | 10               |    |    |    |    |
| Leptophlebiidae | 10               |    |    |    |    |
| Abundance       | 35               | 35 | 0  | 19 | 66 |
| Total Families  | 11               | 5  | 0  | 1  | 2  |
| AAMBI           | 70               | 24 | 0  | 2  | 4  |

The abundance of individuals (i.e. the number of individuals of each species) is also reported for each site

**Table 3** Integrative matrix analysis of three lines of evidence: Water quality index (WQI), Phytotoxicity and the Andean-Amazon Biotic Index (AAMBI)

| Collection sites | Lines of evidence |               |       | Total |
|------------------|-------------------|---------------|-------|-------|
|                  | WQI               | Phytotoxicity | AAMBI |       |
| FT               | 4.47              | 4.83          | 2.8   | 12.10 |
| FF               | 3.43              | 4.1           | 0.96  | 8.49  |
| GM               | 3.37              | 4.07          | 0     | 7.44  |
| UA               | 2.5               | 3.57          | 0.08  | 6.15  |
| LF               | 2.15              | 3.19          | 0.36  | 5.70  |

The scores of each LOE range from 0 to 5; Total scores are the sum of the scores of each LOE

375 The LF site was the most impacted site according to the  
376 WQI and Phytotoxicity tests. The sanitary landfill where  
377 samples were taken has already reached its upper capacity  
378 and the drainage system has exceeded its maximum limit,  
379 producing a leachate that flows directly into a small nearby  
380 stream. The UA sites showed also high degradation, with  
381 scores similar to those found at LF sites in our integrated  
382 index. Due to the lack of proper water treatment, discharge  
383 of wastewater is a chronic source of contamination to Ama-  
384 zonian rivers. The continuous and direct release of domestic  
385 effluents into the aquatic environment may eventually lead  
386 to the accumulation of contaminants in water and sediment,  
387 changing environmental quality (Rocha et al. 2017).

388 The GM site presented intermediate values to the WQI,  
389 with medium quality and signal of toxicity in water tests  
390 (root and epicotyl). In the sediment tests it showed toxicity  
391 in the epicotyl. Although GM has historically been associ-  
392 ated with environmental degradation, the WQI and the phy-  
393 toxicity tests alone do not seem to be adequate for identi-  
394 fying this type of impact. In this case chemical analysis of  
395 metals would be extremely important. The AAMBI indi-  
396 cates that the GM site is not favorable to macroinvertebrate  
397 survival. The inclusion of AAMBI in our integrated index  
398 lowered the score that otherwise would be higher for the  
399 GM site. In this study, the AAMBI was the LOE which bet-  
400 ter detected mining effects on water quality. The absence of  
401 macroinvertebrates in the GM site should be interpreted as  
402 a warning signal, as the pervasive impacts caused by mining  
403 activities can be long-term and widespread (Gómez-Barris  
404 2018; Mora et al. 2019). As mining affects several character-  
405 istics of an ecosystem, multiple LOE are required to detect  
406 the impacts of this activity, including complementary LOE  
407 not used in this study, such chemical analysis of the pres-  
408 ence and concentration of metals in sediments that may be  
409 the destination of the materials from mining discharges. We  
410 reinforce the need of further studies on mining impacts, as  
411 mining activities tend to increase in the coming years (Roy  
412 et al. 2018; Ecuadorian Ministry of Mines 2017).

413 The FF site showed medium water quality and no phy-  
414 toxicity, but AAMBI indicated signals of environmental  
415 contamination, most likely due to the presence of metals  
416 originating from fish feed, fertilizers, or active principles  
417 constituents of chemical compounds applied for differ-  
418 ent uses (Forster et al. 2003; Tacon et al. 2009). This site  
419 is located in rivers that receive aquaculture effluent dis-  
420 charges of about 20 fish farming pools of about 0.2 ha  
421 each. The FT location was found to be the least degraded

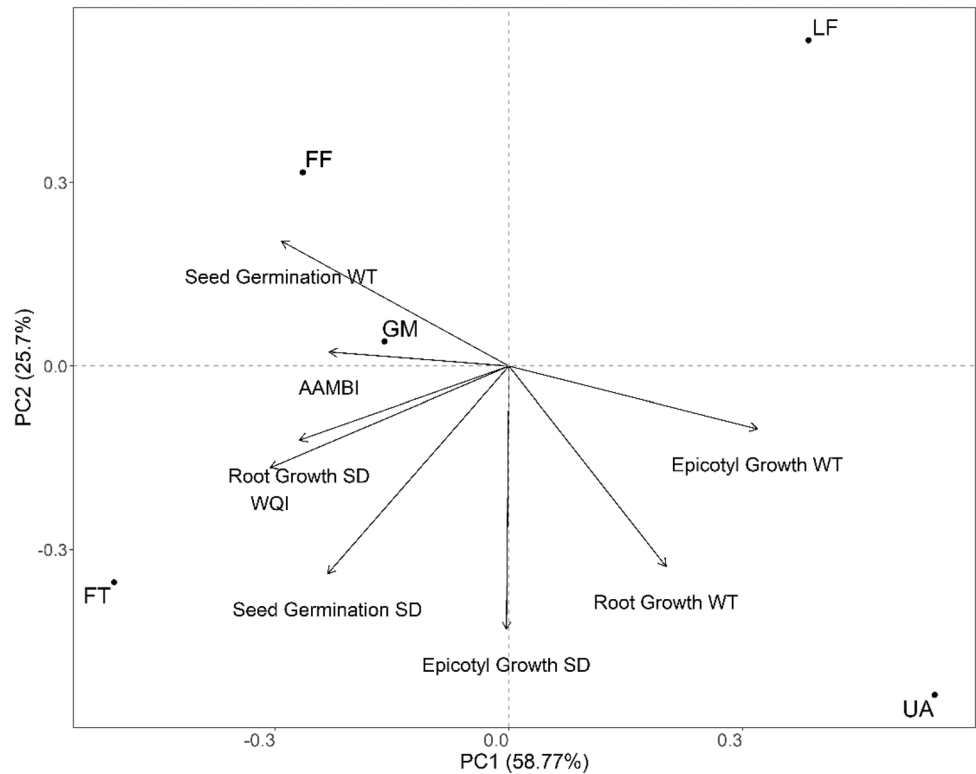
422 by environmental contamination. This site is located in  
423 rivers that flow directly from the Colonso–Chalupas Bio-  
424 logical Reserve (CCBR), with few threats in its vicinity,  
425 only with sparse population settlements. Although these  
426 populations have no basic sanitation treatment, no detect-  
427 able impacts in the quality of the aquatic ecosystem has  
428 been found. Physical–chemical parameters in FT sites are  
429 well below those reported to the Napo basin (Alexiades  
430 et al. 2019) and are close to parameters appropriate for  
431 drinking water.

432 PCA analysis showed a spatial separation between  
433 sampling sites. PC1 explained 58.8% of the variance and  
434 separated all sites according to the selected variables. PC2  
435 explained 25.7% of the variance; sites were separated by  
436 the phytotoxicity parameters epicotyl growth and seed  
437 germination in the sediments and root growth in water  
438 (Fig. 4, Table 3 of supplementary information). When  
439 taken only metal contamination into account, LF sites also  
440 did not cluster with other sites and UA grouped with FT  
441 sites (Capparelli et al. 2020). However, in our study UA  
442 and FT were separated by PC1. The separation of sites  
443 indicated that they are affected by different contamina-  
444 tion sources and that the identification of the impact of  
445 contamination sources may not be easy to detect without  
446 appropriate LOE.

447 Our study confirmed that multiple threats have signifi-  
448 cant impacts on aquatic ecosystems at the Andes–Ama-  
449 zonia ecotone. The combination of water quality indices  
450 and benthic macroinvertebrates with phytotoxicity allowed  
451 us to draw more complete conclusions about environmen-  
452 tal impacts. Ecuadorian environmental legislation for the  
453 preservation of aquatic life (TULSMA 2015) considers  
454 only physical–chemical parameters, including metals, pes-  
455 ticides, and surfactants, which alone are not sufficient to  
456 indicate the degree of environmental contamination. We  
457 showed that biological indicators such as toxicity essays  
458 and macroinvertebrate indices are low-cost and sensitive  
459 for detecting environmental impacts. Therefore, assess-  
460 ments including multiple LOE, such as those used in this  
461 study, appear to be useful and accessible tools for constant  
462 and long-term monitoring of fragile aquatic ecosystems,  
463 which may help to detect further deterioration. Comple-  
464 mentarily, we suggest including the chemical evaluation  
465 of the sediment as LOE in future investigations.



**Fig. 4** The dimensional space is determined by the two first PCA axes, summarizing the main environmental gradients formed by each LOE and by parameters derived from the phytotoxicity tests with *L. sativa* in water (WT) and in sediments (SD) matrices. Sampling sites are few threats (FT), fish farming (FF), golden mining (GM), urban areas (UA) and landfill (LF)



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**Compliance with Ethical Standards**

**Conflict of interest** The authors declare that they have no known competing financial interests or personal relationships that could influence the present investigation.

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