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Flood Hazard Assessment in Data-Scarce Watersheds Using Model Coupling, Event Sampling, and Survey Data

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Abstract: The application of hydrologic and hydrodynamic models in flash flood hazard assessment is mainly limited by the availability of robust monitoring systems and long-term hydro-meteorological observations. Nevertheless, several studies have demonstrated that coupled modeling approaches based on event sampling (short-term observations) may cope with the lack of observed input data. This study evaluated the use of storm events and flood-survey reports to develop and validate a modeling framework for flash flood hazard assessment in data-scarce watersheds. Specifically, we coupled the hydrologic modeling system (HEC-HMS) and the Nays2Dflood hydrodynamic solver to simulate the system response to several storm events including one, equivalent in magnitude to a 500-year event, that flooded the City of Tena (Ecuador) on 2 September, 2017. Results from the coupled approach showed satisfactory model performance in simulating streamflow and water depths ($0.40 \leq \text{Nash-Sutcliffe coefficient} \leq 0.95$; $-3.67\% \leq \text{Percent Bias} \leq 23.4\%$) in six of the eight evaluated events, and a good agreement between simulated and surveyed flooded areas (Fit Index = 0.8) after the 500-year storm. The proposed methodology can be used by modelers and decision-makers for flood impact assessment in data-scarce watersheds and as a starting point for the establishment of flood forecasting systems to lessen the impacts of flood events at the local scale.

Keywords: flood hazard assessment; data scarcity; model coupling; event sampling; survey data

1. Introduction

The assessment of natural hazards, such as flash floods, remains a challenging issue in environmental sciences [1]. Flash floods caused by extreme rainfall events associated with climate change have increased in the past few years [2–4]. Thus, the development and implementation of measures that diminish flash flood impacts and safeguard people and civil infrastructure are imperative. In this context, numerical models have been found to be reliable tools for flash flood hazard assessment. Specifically, hydrological and hydrodynamic models have been widely applied to describe flash flood dynamics at the watershed scale and project potential impacts on urban areas. Hydrological models (e.g., HEC-HMS, SWAT, MIKE 11, HBV, Top Model) have been widely used to simulate precipitation-runoff processes due to the ease of their implementation [1]. Although these

models can accurately estimate streamflow patterns across complex watersheds, they do not provide a comprehensive representation of water flow in riverbanks and floodplains. On the other hand, hydrodynamic models (e.g., MIKE 21, LISFLOOD-FP, DELFT-2D, IBER, Nays2DFlood), which are based on more complex formulations, can represent streamflow data in terms of water depths and flow velocities across river channels and floodplains [5–9] but with a larger expense in computational and data resources [10,11]. External coupling approaches that combine hydrological and hydrodynamic models have shown satisfactory performance in representing flood extents while requiring a reduced computational burden and data [12–16]. The information generated by combining these models may be used to reconstruct historical flood events or evaluate the plausible response of the hydrologic system to present or future stressors (e.g., climate and land-use changes) [17]. Given that hydrologic and hydrodynamic models play a crucial role in the design of flooding control structures, flood risk management, and mitigation policy-making, they need to be tested from a strict scientific point of view [18–22]. Model reliability depends on two key factors, namely, the model formulation that describes the system and the input data used to set up the model [11,23–26].

In developing countries, the availability and quality of hydrometeorological data represent a significant constraint for the implementation of hydrological and hydrodynamic models due to the absence of robust monitoring networks and the lack of long-term hydro-meteorological observations [27]. The scarcity of reliable input data hinders the models' ability to represent the hydrological dynamics. Consequently, the application of hydrological and hydrodynamic models in data-scarce environments is prone to equifinality (i.e., to generate a similar systemic response under distinct model parameterizations) and high uncertainty, even after a successful calibration/validation process [28]. In other terms, modeling outputs under data scarcity conditions may not enhance the basic understanding of the processes taking place in the hydrologic system and appropriately represent the watershed's natural characteristics [29].

In the absence of long-term observations, event sampling data may provide sufficient information to perform hydrological and hydrodynamic simulations. In this sense, several studies have found that the degree of hydrological information obtained by processing data from several storm events is comparable to that obtained by processing long-term data series [30–36]. The implementation of externally coupled models with event sampling data for flood hazard assessment may be quite relevant in zones with high spatial precipitation variability such as those located in the Andes-Amazon transition [37], where monitoring networks are sparse and recently established.

This study evaluated the potential use of event sampling and survey data for flash flood hazard assessment in data-scarce watersheds. Specifically, this study was geared to (1) implement a coupled framework to simulate in-stream flow and flow velocity and water depths across floodplains against short precipitation-runoff events in the data-scarce Tena River Watershed in Ecuador, and (2) evaluate the ability of the modeling framework to recreate the flood intensity due to a 500-year precipitation event that flooded the city of Tena on 2 September, 2017. Despite the fact that this city, like many others in the Amazon Basin, has experienced several flood events during recent years (2008, 2010, 2016, 2017) that have caused fatalities and significant economic losses [38,39], no flood management system has been implemented. The methodology and findings from this study may be used in similar watersheds with scarce data and for the establishment of flood forecasting systems.

2. Materials and Methods

2.1. Study Area

The study area comprises the Tena River Basin (TRB) and the Pano River Basin (PRB), which converge on the city of Tena (Napo province, Ecuador) and cover a drainage area of 235 km² (Figure 1). The basins depict a very steep relief with a terrain elevation ranging from 500 to 2500 m above mean sea level (mamsl; Figure 1c) and an average slope of approximately 22.4%. The main stem of each river extends for 28 and 25 km for TRB and PRB, respectively, with a mean slope of 7%. The domain for

the flood hazard assessment in the city of Tena considered a river segment of 1.7 km (Figure 1d) that has a mean slope of 0.03%. Its width and bankfull area are equal to 50 m and 362 m², respectively, and its bed is composed of coarse material such as gravels (~5 cm) and cobbles (~25 cm). TRB and PRB have similar characteristics in terms of their geology, morphology, soil composition, land use, and climate. Both basins are part of a tertiary cretaceous sedimentary basin with predominant alluvial deposits. The soils, classified as hydrated *Andisols* formed in volcanic ash, that are predominantly sandy clay and sandy loam in the upper and lower parts of the watershed, respectively [40], remain saturated most of the time. This is explained by the low evapotranspiration rates of the cloud forest located in the upper part of the watershed [41], which, together with shrubs and herbaceous plants, cover 65% of the area. The lower part, on the other hand, is covered by secondary forest, pasture, and crops such as corn, cacao, and cassava (35%) [42].

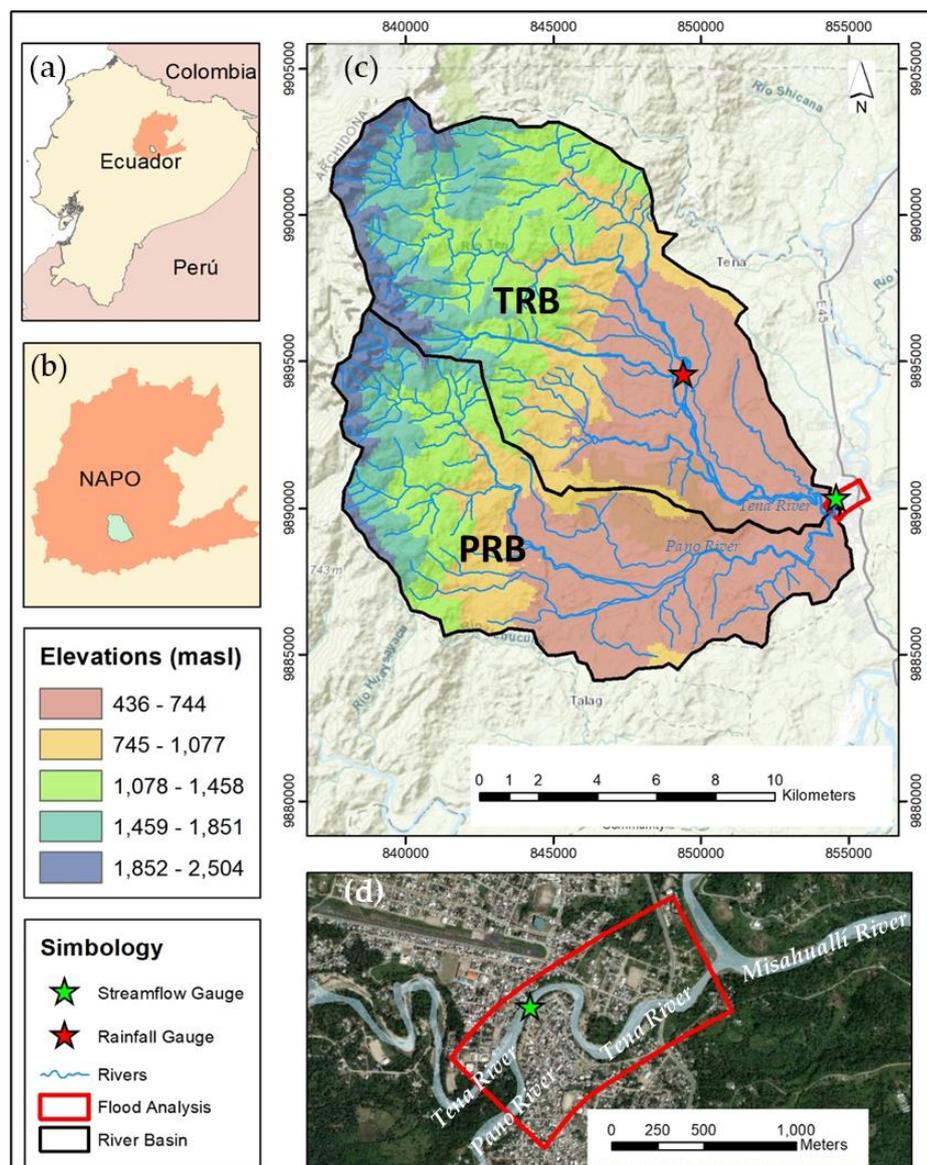


Figure 1. General features of the study area. (a) Location of the Napo province in Ecuador; (b) study area within the Napo province; (c) terrain elevation and location of the precipitation and streamflow gauges; (d) domain used for flood hazard assessment (red polygon) in the city of Tena.

In accordance with the Köppen climate classification, the study area can be defined as tropical rainforest (Af), i.e., the climate is strongly influenced by humid air masses coming from the remaining

portion of the vast Amazon Basin in the east [43]. The mean annual precipitation in the city of Tena is estimated at 3500 mm, while in the upper zone of the study area, it could exceed 4000 mm. The mean annual temperature is approximately 25 °C [38].

The city has experienced a disorderly urban growth in recent decades that has occupied areas susceptible to flooding (low terraces), where nowadays approximately 3000 people live [44]. Several climate scenarios for this region have projected a progressive increase in the occurrence of extreme precipitation events until the end of the century [45–48], which may imply a higher flood risk.

2.2. Data

Soil characteristics and land cover data for the TRB and PRB were derived from thematic maps of the SIGTIERRAS project (STP), available at the spatial scale of 1:25,000 [42]. The watershed morphological parameters for the hydrological modeling (Table 1) were derived from a 30-m digital elevation model (DEM) produced by the SRTM (Shuttle Radar Topographic Mission) [49], while the topography of the river segment and floodplains for the hydrodynamic modeling were derived from a 5-m DEM surveyed by the STP through Aerial Photogrammetry. In the latter, the topography of the main channel was adjusted with ground control points, and false elevation values were corrected [42]. It is important to note that the DEM described the terrain elevation, and consequently, it did not represent objects and obstacles such as buildings.

Table 1. Morphological and hydrological parameters of PRB and TRB.

| Parameter | Description [Units] | PRB | TRB |
|-----------|---|---------|---------|
| A | Drainage area [km ²] | 99.96 | 134.86 |
| P | Perimeter [km] | 54.97 | 54.13 |
| El_min | Minimum elevation [m] | 499.00 | 499.00 |
| El_max | Maximum elevation [m] | 2494.00 | 2448.00 |
| El_ave | Mean elevation [m] | 982.00 | 1087.00 |
| Sl_min | Minimum slope [%] | 0.00 | 0.00 |
| Sl_max | Maximum slope [%] | 95.93 | 106.23 |
| Sl_ave | Mean slope [%] | 24.09 | 27.51 |
| Lh | Hydraulic length [km] | 25.43 | 27.99 |
| Le | Equivalent length [km] | 23.17 | 20.48 |
| Lr | Relative length of the largest reach (Lh / A ^{0.5}) [-]; Lr > 1: elongated basin, Lr < 1: basins prone to floods | 2.54 | 2.41 |
| CN | Curve number for saturated conditions [-] | 90.00 | 87.00 |
| Tc | Time of concentration [minutes] | 180.00 | 190.00 |
| Lag | Lag time [minutes] | 108.00 | 114.00 |
| Bf | Baseflow [m ³ /s] | 6.00 | 9.00 |

Hydrometeorological monitoring initiatives in the watershed started in 2013 with the foundation of the Ikiam University, which installed an automatic weather station and an automatic radar streamflow gauge [50] in 2015 and 2018, respectively (Figure 1). These gauges record precipitation, streamflow, water depth, and flow velocity patterns using a one-minute time step. The data is available at <http://meteorologia.ikiam.edu.ec:3838/meteoviewer/>. The streamflow gauging station is a SOMMER RQ-30, which comprises a radar sensor for water level and flow velocity measurement [50]. The cross-section area (A) is computed as a function of the water level, and then used to calculate the streamflow ($Q = A \times V \times k$; k = correction factor). A discharge table is generated from the cross-section areas and the k-factors as a function of the water level corrected by a reference measurement. The cross section of the channel at the measuring point was determined with a detailed topographic survey.

Given that this study focuses on flash flood hazard assessment, for the calibration and validation of the modeling framework, we only considered storm events that have generated a significant streamflow. These were events that generated a streamflow over 211 m³/s. This streamflow threshold was defined following the methodology proposed by Reynolds et al. [30], using the annual minimum from monthly

maximum records instead of the annual mean. This obeyed the short time-series available for the streamflow (1 year; Figure 2). As a result, eight events were selected for the period between July 2018 and May 2019 (Table 2). E1 and E8 were the storm events with the longest durations (48 h), while E3 the event with the shortest (20 h) (Table 2). Likewise, the maximum and minimum peak flows were recorded for E1 (714.2 m³/s) and E3 (234.8 m³/s), respectively (Table 2).

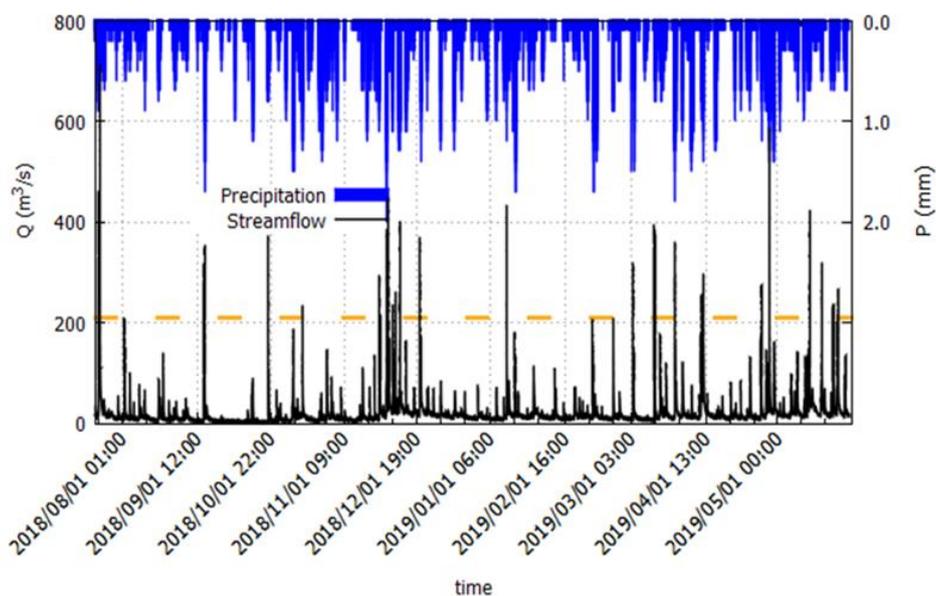


Figure 2. Time series of precipitation (P) and streamflow (Q) from July 2018 to May 2019. The orange dashed line represents the 211 m³/s threshold for storm event selection.

Table 2. Duration and peak flow of the eight storm events considered for model calibration and validation.

| Event | Start (Date, Time) | End (Date, Time) | Duration (Hours) | Peak Flow (m ³ /s) |
|-------|--------------------------|--------------------------|------------------|-------------------------------|
| E1 | 21 July 2018, 12:00 | 23 July 2018, 12:00 | 48 | 714.20 |
| E2 | 03 September 2018, 12:00 | 04 September 2018, 18:00 | 30 | 356.00 |
| E3 | 14 October 2018, 12:00 | 15 October 2018, 08:00 | 20 | 234.80 |
| E4 | 24 November 2018, 00:00 | 24 November 2018, 24:00 | 24 | 403.30 |
| E5 | 07 January 2019, 12:00 | 08 January 2019, 12:00 | 24 | 435.60 |
| E6 | 10 March 2019, 06:00 | 11 March 2019, 06:00 | 24 | 395.60 |
| E7 | 27 April 2019, 00:00 | 27 April 2019, 24:00 | 24 | 589.80 |
| E8 | 13 May 2019, 00:00 | 14 May 2019, 24:00 | 48 | 424.40 |

In September 2017, an extreme precipitation event flooded the city of Tena. It had a duration of 13 h with a 1.25-h period that registered a maximum intensity of 120 mm/h. In accordance with a study of heavy precipitation events in Ecuador developed by the National Hydrometeorological Institute (INAMHI) [51], the characteristics of the 2017 extreme event were equivalent to those of an event with a 500-year return period. This INAMHI study used a meteorological station located 10 km to the northeast of our study area and with more than 50 years of records to determine the characteristics of precipitation events with different return periods. Among them, a 500-year event was described as that with a maximum intensity of 120 mm/h for a period of at least 1.25 h. In order to reproduce the flooding generated by the 2017 event and evaluate whether it may serve as a starting point for the establishment of a regional flood forecasting system, we employed our modeling framework to cope with the lack of streamflow records for the period when this 500-year event took place.

2.3. Hydrological Modeling

We set up a lumped HEC-HMS model (4.2.1 version) [52] to simulate streamflow in the main stem of PRB and TRB using the curve number (CN) and the synthetic unit hydrograph methods, both of which were developed by the Soil Conservation Service (SCS; now NRCS) [53–55]. The resulting hydrographs for PRB and TRB were combined without implementing any routing technique at the junction of the rivers in the city of Tena (Figure 1d). The CN is defined in terms of land cover, soil type, and antecedent soil moisture conditions. The latter is a crucial parameter that may reduce or increase the soil infiltration capacity [56], and hence, affect the amount of runoff. Initial values of CN, time of concentration (t_c), and lag time (lag) were derived from previous studies performed in the study area, DEM processing, and the analysis of precipitation and streamflow time series, and then adjusted during calibration. According to Fernandez et al. [57], CN values of 90 for PRB and 87 for TRB (Table 1) accurately represent the soil saturated and the high surface runoff conditions in the basins. The t_c , representing the hypothetical time that water would require to reach the watershed outlet from the remotest point [54], was expressed in terms of the river channel length and the elevation difference between the highest and lowest points of each basin using the Kirpich's equation [58]. The lag, which corresponds to the delay or time difference between the peak precipitation and peak streamflow, was calculated as 60% of t_c [52]. The initial lag values for PRB and TRB were equal to 108 and 114 min, respectively. All the initial hydrological parameters used in this study are shown in Table 1.

The built-in automatic parameter estimation algorithm within the HEC-HMS interface [59] was employed for model calibration, where the model was calibrated for event E1 and validated for events E2–8. The goodness-of-fit of the model was evaluated by comparing the observed and simulated streamflow using the Nash-Sutcliffe efficiency coefficient (NSE) and the Percent Bias (PBIAS). The NSE indicates how well the observed and simulated data fit a 1:1 line [60], while the PBIAS measures the average tendency of the simulated data to underestimate or overestimate the streamflow compared to the observations [22]. The model parameters were adjusted until the model reached a 'very good' performance in accordance with the Moriasi et al. [21] ratings, where the model performance metrics were computed considering all values at one-minute time steps over the event duration (Table 2).

2.4. Hydrodynamic Modeling

Nays2DFlood solver (3.0.0 version) [8] was used for hydrodynamic modeling. Nays2DFlood is an open-source flood flow solver for two-dimensional unsteady flow problems. It implements the continuity and momentum equations in a curvilinear coordinate system where water depths and flow velocities are the main model outputs [61,62]. For the domain used for flood hazard assessment in the city of Tena, we employed a 1-km² structured grid that comprises 10,000 × 10-m square cells (Figure 3). This simulation area encompassed the overflow section of the main channel and the urban areas that are more prone to flooding. The upstream boundary conditions were defined using the hydrographs generated by the hydrologic model for the Tena and Pano rivers (Figure 3a) while the downstream boundary conditions, located before the confluence of the Tena and Misahuallí rivers (Figure 1), were set as free outflow. Free outflow means the simulation results for the grid cells adjacent to the boundary grid cells are given to the boundary grid cells as their boundary condition [8]. Specifically, the initial water depth was set to 0.5 m, which corresponds to the water depth at the baseflow. Additionally, model calculations were performed using a time step of 0.05 s with the model outputs being printed every 60 s.

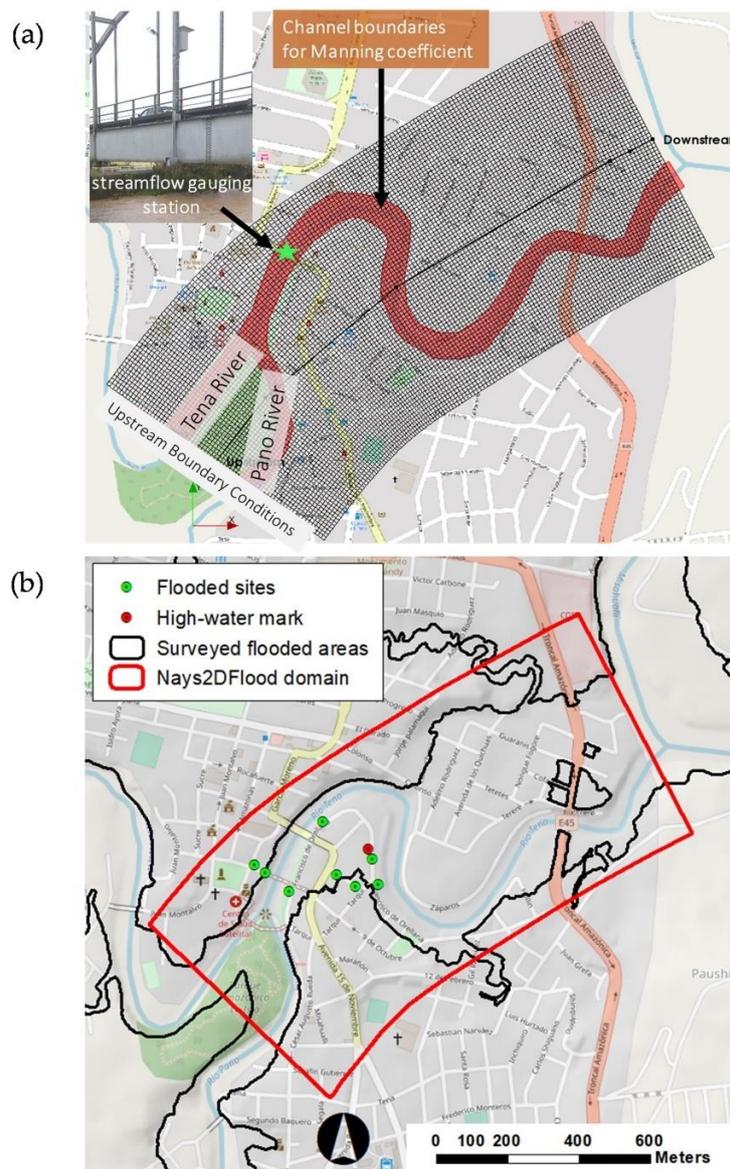


Figure 3. Hydrodynamic domain and control points for calibration and validation. (a) Modeling domain for the hydrodynamic simulations and location of the streamflow gauging station; (b) Polygon of flooded areas (black line), additional control points of flooded sites (greenish dots), and high-water mark (reddish dot) used to validate the results of the hydrodynamic model in simulating the 2017 flood event.

The cell roughness characteristics were estimated by comparing aerial photographs and land cover data with tabulated roughness values. Among all the parameters, the Manning's coefficient (n) is one of the most important for hydrodynamic modeling [11]. It represents the average flow resistance in the water profile [8]. We used an initial n value of 0.025 (natural channels with no vegetation) for the main channel [63]. For the floodplains, an equivalent Manning coefficient was implemented resembling the roughness of pavement and other urban areas ($n = 0.05$) [64]. Given that Nays2DFlood employs an implicit finite difference scheme to solve the advection equation, during the simulation, the water flow variables need to be spatially interpolated at each time step. Specifically, this study used the constrained interpolation profile (CIP), which is a high-order, accurate method that fits a third-order polynomial to reduce numerical diffusion. One of the CIP advantages is that a small number of adjacent cells is required to obtain an accurate estimation of the advection terms [12].

There are several techniques for the calibration and validation of hydrodynamic models based on single or multiple data sources such as remote sensing, survey data, and historical records [65–69]. In this study, the coefficient of Manning was manually adjusted until the error between the observed and simulated water depths and flow velocities was the minimum possible at the radar streamflow gauge located in the main channel (Figure 1). It is important to note that during flash flood events, the kinematic wave (gravitational forces) prevails over the dynamic wave (inertial forces). Therefore, the use of flow velocities and water depths is suitable for calibrating the Manning’s coefficient for the main channel, where the flow is predominantly one-dimensional [70,71]. The calibration and validation of the Manning’s coefficient of the river reach took into account the six storm events that were calibrated and validated for the hydrologic model (i.e., E1-E3, E5, E6, and E8) and one that occurred in 2017. Events E4 and E7 were not considered because of the unsatisfactory performance of HEC-HMS in simulating their streamflow patterns (Figure 4). The same model metrics (NSE and PBIAS) used for the hydrological modeling were used to evaluate the performance of Nays2DFlood.

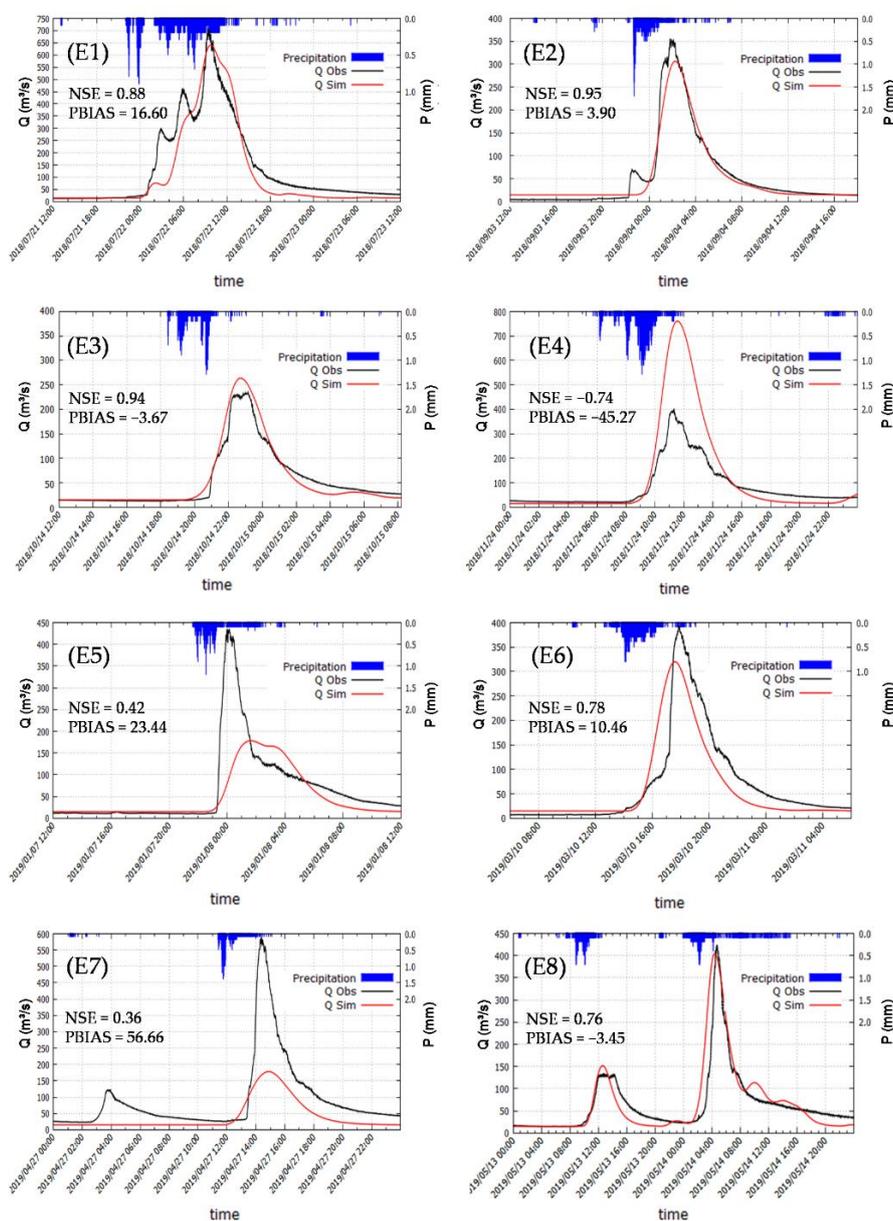


Figure 4. Observed (black line) and simulated (red line) streamflow (m^3/s) for the calibration and validation events (E1–E8).

In addition to the water depths and flow velocities at the gauging station, we used a polygon of flooded areas, additional control points of flooded sites, and a high-water mark (Figure 3b) to validate the performance of the model in simulating the 2017 extreme event. The flooded areas generated by the hydrodynamic model were evaluated using the fit index (better known as F index) [65,72], which measures the degree of overlap between the observed and simulated flooded areas from 0 to 1, where $F = 1$ represents a perfect overlap, and $F = 0$ represents no overlap. The F index is computed by dividing the spatial intersection between the observed and simulated flood areas by their spatial union.

2.5. Flood Hazard Mapping

The most relevant physical characteristics of flood events are flow velocity, water depth, and duration, which may determine their intensity and destructive capacity, and hence, may provide a measure of its hazard [73,74]. We built flood intensity maps for the 2017 flood event, postprocessing the results from the hydrodynamic simulations in GIS tools and using the flood intensity categories proposed by Cançado et al. [75] (Table 3). The flood hazard mapping was only implemented for the 2017 event because, within the available precipitation records, this flood event had survey data (flooded areas and points) which was useful for validation.

Table 3. Flood intensity as a function of flow velocities and water depth.

| Flood Intensity | Depth (D) [m]-Velocity (V) [m/s] |
|-----------------|-------------------------------------|
| High | $D > 1.5$ or $V > 1.5$ |
| Medium | $0.5 < D < 1.5$ or $0.5 < V < 1.5$ |
| Low | $0.1 < D < 0.5$ and $0.1 < V < 0.5$ |

3. Results and Discussion

3.1. HEC-HMS Calibration

The performance of HEC-HMS in simulating the streamflow at one-minute time steps showed satisfactory results (Table 4 and Figure 4). In accordance with the Moriasi et al. [21] guidelines for calibrating hydrologic models, the NSE (0.88) and PBIAS (16.6%) for the calibration event (E1; Figure 4) indicated a good fit between the observed and simulated streamflow. Similarly, in four (E2, E3, E6, E8) of the seven validation events, the hydrologic model had a satisfactory performance ($0.76 \leq NSE \leq 0.95$; $-3.67\% \leq PBIAS \leq 10.46\%$; Figure 4). Since high performance metrics are difficult to achieve using a one-minute time step, and the Moriasi et al. [21] performance ratings are for monthly time-step evaluations, we considered that our model had also an acceptable performance in simulating event E5, which obtained a NSE of 0.42 and a PBIAS of 23.44% (Figure 4).

Table 4. Observed and simulated peak flow, time at peak, and runoff volume for events E1-E8.

| Event | Observed | | | Simulated | | |
|-------|---------------------|-----------------------|--------------------|---------------------|-----------------------|--------------------|
| | Time at Peak (Time) | Peak Flow (m^3/s) | Runoff Volume (mm) | Time at Peak (Time) | Peak Flow (m^3/s) | Runoff Volume (mm) |
| E1 | 09:24 | 714.20 | 100.66 | 09:47 | 641.10 | 83.96 |
| E2 | 01:49 | 356.00 | 25.34 | 02:16 | 306.30 | 24.52 |
| E3 | 23:09 | 234.80 | 16.92 | 22:42 | 263.70 | 17.54 |
| E4 | 11:19 | 403.30 | 30.25 | 11:33 | 760.80 | 43.94 |
| E5 | 00:09 | 435.60 | 24.1 | 01:36 | 178.10 | 18.45 |
| E6 | 17:54 | 395.60 | 25.21 | 17:36 | 319.90 | 22.57 |
| E7 | 14:24 | 589.80 | 33.76 | 14:53 | 178.10 | 14.63 |
| E8 | 04:40 | 424.40 | 48.01 | 04:24 | 404.60 | 49.67 |

This was supported by other studies [76,77] that have stated that simulations with a daily (or smaller time steps) NSE as low as 0.4 may be considered acceptable. Consequently, five of the

seven validation events had satisfactory results. Despite the fact that the performance of the model was not validated in two events (E4 and E7; Figure 4), our modeling framework was reliable in 71.4% (75% if considering the calibration event as well) of the cases, making it suitable for flood modeling. The low performance in the aforementioned two events may be explained by the spatial variability of the precipitation across the study area, which could not be fully described by the single weather station available for this study. Recall that precipitation is not monitored in PRB; this may explain some of the differences between the observed and simulated streamflow. Despite these limitations, the results were promising, taking into account the data-scarce condition of the study area and the fact that nowadays, flood prediction systems have not been deployed by the local authorities. The time difference between the observed and simulated peak flow ranged from -27 min to 87 min, with a mean value of 15 min, where the highest error was observed for E5 (Table 4).

The latter may imply some limitations for flood progress monitoring and forecasting, and a late response to such events from the local authorities. We believe that the modeling errors were related to the difficulty of describing the spatio-temporal patterns of every precipitation event that occurred across PRB and TRB due to the lack of multiple monitoring points. However, the results showed that in most of the cases, the single precipitation gauge available in the study area was able to provide sufficient information for simulating the streamflow in the Tena River. More efforts should be made to improve the monitoring system in the study area.

The adjusted CN values for PRB and TRB were 84 and 81, respectively, being very close to the initial assumption. The optimum lag time values, on the other hand, were 125 min for PRB and 130 min for TRB, approximately 15% greater than the initial values. Similar results for the lag time were obtained in previous studies based on morphometric analyses [57]. The high CN and low lag values indicated a fast watershed response to precipitation. This was explained by the combined effect of intense precipitation patterns, steep slopes, and high water storage capacity of clay and loam soil types. Recall that soils are saturated most of the time due to the humid environment and the cloud forest in the upper part of the study area, which has low evapotranspiration rates and allows the soil to remain moist [41]. Moreover, steep hillslopes in the upper zones of PRB and TRB facilitate surface runoff [78,79]. Under these conditions, surface runoff may be generated by both infiltration and saturation excess [80–82]. It is important to note that although TRB and PRB are densely forested, the ability of this cover to attenuate floods is limited [83,84].

3.2. Nays2DFlood Calibration and Reconstruction of the 500-Year Flood Event

The Manning coefficient for the channel and the floodplains was simultaneously calibrated and validated. As a result, a value of 0.05 for both generated the best results (highest performance metrics; Figure 5 and Figure 7c). Our findings matched those from other studies that estimated an equivalent Manning's n for urban floodplains [64,72,85]. Moreover, several studies have found that the calibrated Manning coefficient for the channel and floodplains can be very similar under certain conditions. For example, Mosquera-Machado et al. [86] obtained Manning's n values of 0.056 and 0.048 for the floodplains and channel, respectively, in a flood hazard study in Colombia. Horrit and Bates [65] also obtained similar values for the Manning coefficient in both the channel and floodplains of 0.02 and 0.05 using the TELEMAC and the LISFLOOD-FP models, respectively. In our case, the same value of Manning's n for the river channel and floodplains may be explained by the coarse bed material of the channel (gravel and cobble) [87] and the urban cover of the floodplains, which have similar roughness characteristics.

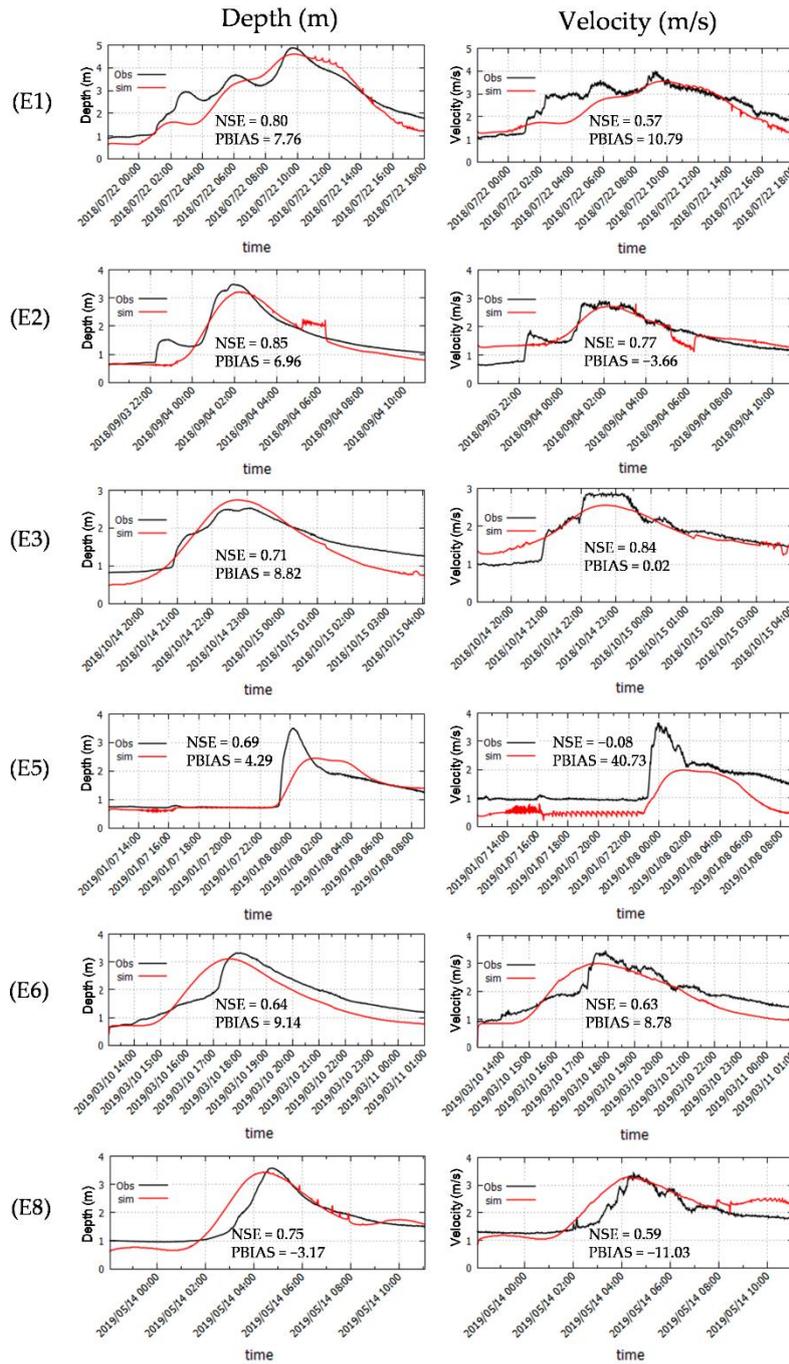


Figure 5. Observed (black line) and simulated (reddish line) water depth (m) and flow velocity (m/s) for the calibration and validation events (E1-E3, E5, E6, E8).

The Nays2DFlood showed satisfactory results in simulating water depths and flow velocity in the main channel (Figure 5). For the water depths over the calibration and validation events (E1-E3, E5, E6, E8), the NSE values ranged between 0.64 and 0.85, while the PBIAS varied from -3.17% to 8.62% (Figure 5). Overall, the model was able to simulate the magnitude and timing of the water depths with a general tendency to underestimate its magnitude by 5.6%, which represents ‘very good’ performance in conformity with the Moriasi et al. [21] performance ratings. For the flow velocity, the NSE (0.57–0.84) and the PBIAS (-11.03–10.79%) described a reasonable goodness-of-fit in five of the six evaluated events (E1-E3, E6, E8; Figure 5). The model was not able to recreate the water velocity during event E5 (NSE = -0.08; PBIAS = 40.73%; Figure 5). This error in the flow velocity was

associated with the low accuracy of the hydrologic model in simulating the streamflow for this event due to the complexity of describing precipitation patterns across the study area with a single weather station. However, we believe these limitations can be overcome in the near future by improving the precipitation monitoring network in the study area and/or using remote sensing data.

Given that there were no streamflow records for the precipitation event that flooded the city of Tena in September 2017, they were recreated using the calibrated HEC-HMS model (Figure 6a). At the junction of the Pano and Tena rivers, the simulated peak flow was equal to $1967 \text{ m}^3/\text{s}$ (Figure 6a), i.e., 5.1% less than that estimated by Fernandez and Bateman [57] for the same area based on the precipitation event that INAMHI described with a 500-year return period [51].

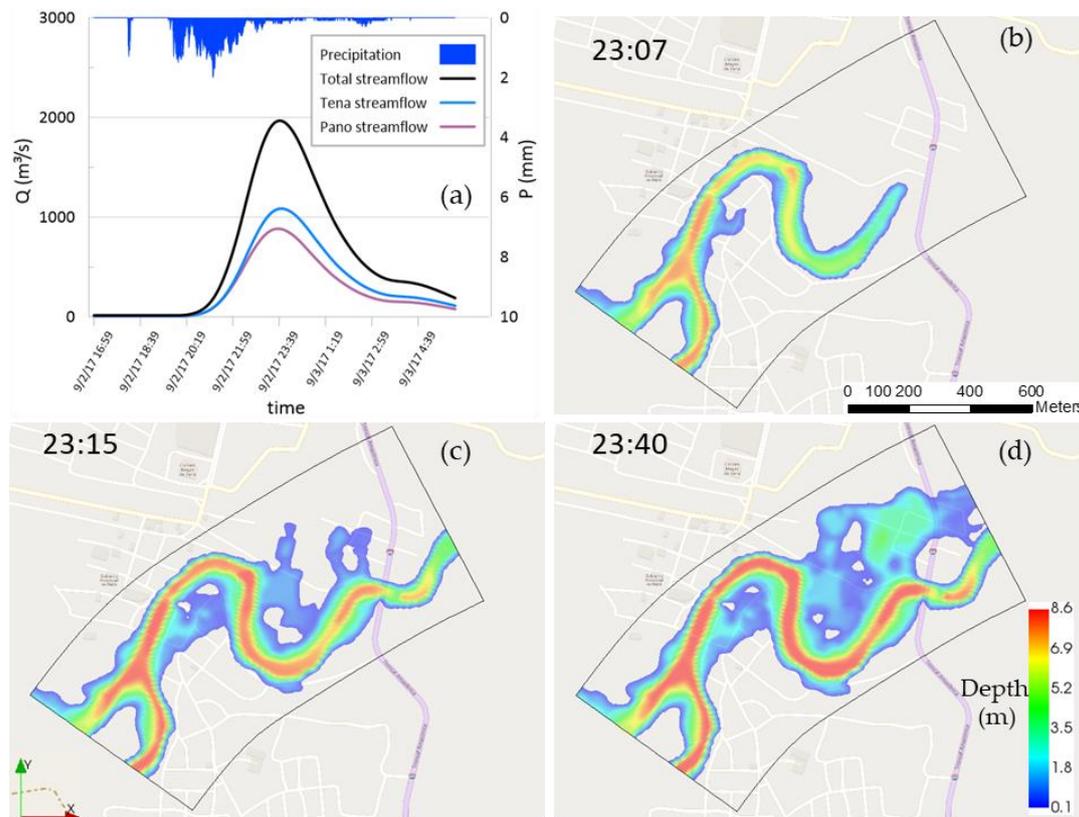


Figure 6. Spatio-temporal evolution of the flood event generated by the 500-year storm on 2 September 2017. (a) HEC-HMS-reconstructed hydrograph. Flood stage (b) at the beginning of the river overflow, (c) when the overflow took place along several river segments, and (d) at the time of peak flow.

According to the simulation results, the river started overflowing 150 m downstream of the confluence of the Pano and Tena rivers at 23:07 (111 min after the peak precipitation was observed; Figure 6a,b), and only 8 min later (23:15), several river segments near the meanders were overflowing simultaneously. Moreover, when the peak flow was observed (23:40), most of the floodplains were almost covered. These results may help stakeholders to develop flood emergency plans that consider evacuation plans, the establishment of early warnings, the construction of levees, or urban planning strategies to relocate vulnerable communities.

As mentioned in 2.4, the Nays2DFlood results were further validated using survey data of the flooded areas and control points of flooded sites. A high agreement between the simulated and observed flooded areas was obtained based on the fit index ($F = 0.8$). Additionally, six of the eight control points of flooded sites were within the simulated flooded areas, and the simulated water depth (1.23 m) matched that observed in a high-water mark (1.2 m) left on a building after the flood event (Figure 7c).

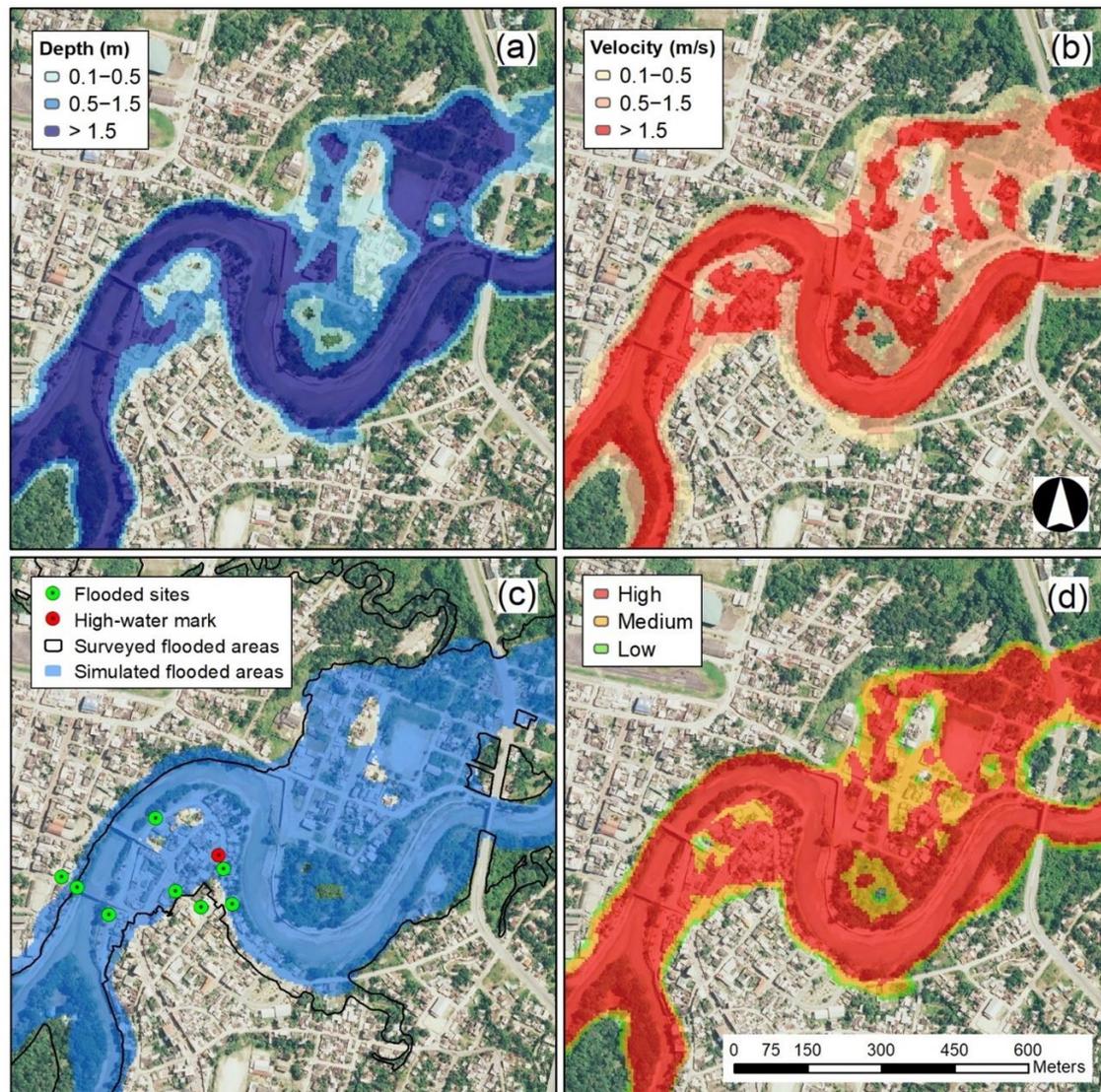


Figure 7. Reconstructed 500-year flood event. (a) water depths; (b) flow velocities; (c) simulated flood extent against surveyed flooded areas (black line) and control points of flooded sites (greenish dots), and location of the high-water mark (reddish dot); (d) flood intensity map as a function of flow velocity and water depth in compliance with Cañado et al. [75] guidelines (Table 3).

The values reported in Figure 7 correspond to the instant at which the peak flow in the channel was reached (11:40 pm; Figure 6a). The flood intensity map (Figure 7d) indicated that 71% of the flooded areas (40.9 ha) were under a high intensity, while 23% and 6% were under medium and low intensity, respectively. The flood intensity map also showed that the Bellavista and El Tereré neighborhoods were the most affected, being within high flood intensity areas (water depth > 1.5 m or flow velocity > 1.5 m/s). Accordingly, the maximum water depths in the floodplains were approximately 2 m and 4 m in the Bellavista and El Tereré neighborhoods, respectively, while the maximum water depth in the main channel was 8.5 m. The maximum flow velocity in the floodplains, on the other hand, was approximately 3 m/s across both neighborhoods, while in the main channel, a maximum of 9.4 m/s was reached (Figure 7). These areas with medium and high flood intensities may represent a threat to individuals and hinder evacuation and rescue tasks, given that at a water depth of at least 0.3 m and a flow velocity of 2.0 m/s, humans and cars become unstable [88,89]. Moreover, a water depth of 1.5 m may represent a damage factor of 0.84 on South American residential buildings [90].

Governmental reports stated that one person died and 1312 people, 324 houses, 36 private goods, and three public goods were affected during this extreme flood event [91].

4. Conclusions

This study coupled HEC-HMS and Nays2Dflood with event sampling and survey data to simulate the hydrologic response of data-scarce watersheds for a flood hazard assessment in the city of Tena. The results showed that this approach is suitable for calibrating and validating hydrologic and hydrodynamic models and recreating extreme flood events. Our modeling framework was reliable in simulating the streamflow and water depths of the main channel in 75% of the evaluated storm events, indicating that under certain conditions, data from a single precipitation gauge can accurately represent the spatio-temporal patterns of precipitation across the study area. Additionally, survey data such as polygons of flooded areas, control points of flooded sites, and high-water marks can provide sufficient information to constrain hydrodynamic models in the two-dimensional space. We appropriately reproduced an extreme flood event that occurred in September 2017 due to a 500-year precipitation event, where streamflow records were not available. According to our flood intensity map, 94% of the floodplains were under medium or high intensity, which may represent a threat to life, hinder evacuation and rescue tasks, and significantly damage residential and civil infrastructure.

The framework that we developed in this study facilitated the evaluation of the possible impacts of flood events on urban areas located in watersheds where robust monitoring networks are not available. Despite the assumptions that long-term data and multiple monitoring points are required for flood hazard assessment and the establishment of flood forecasting systems, we found that event sampling and survey data can cope with data scarcity and pave the way for flood research and the establishment of flood monitoring/forecasting systems in developing countries while their monitoring systems are being improved. This will enable stakeholders to formulate timely adaptation and mitigating plans to lessen the impacts of flood events at the local scale.

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